

j1003 U.S. PTO

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PTO/SB/05 (12/9)

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 <b>UTILITY</b>  <b>PATENT APPLICATION</b>  <b>TRANSMITTAL</b>  <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Atty Docket No.	32935RC497723	Total Pages	59
	<i>First Named Inventor of Application Identifier</i>			
	Luis A. Ruiz			
		Express Mail Label No.: 		
<b>APPLICATION ELEMENTS</b>  See MPEP chapter 600 concerning utility patent contents.				
Assistant Commissioner for Patents ADDRESS TO: Box Patent Applications Washington, DC 20231				

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|--|---|
| <p>1. ■ Fee Transmittal Form (submit an original and a duplicate for fee processing)</p> <p>2. ■ Specification</p> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (<i>if filed</i>)</li> </ul> <p>Claim(s)</p> <p><input checked="" type="checkbox"/> Abstract of the Disclosure)</p> <p>3. ■ Drawing(s) (35 USC 113) [Total Sheets <u>7</u>]</p> <p>4. ■ Oath or Declaration</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy) [Total Pages <u>1</u>]</p> <p>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/>(for continuation/division with Box 17 completed)</p> <p><b>[Note Box 5 below]</b></p> <p>i. <input checked="" type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting inventor(s) named in<br/>the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</p> <p>5. ■ Incorporation By Reference (<i>useable if Box 4b is checked</i>)<br/>The entire disclosure of the prior application, from which a copy<br/>of the oath or declaration is supplied under Box 4b, is<br/>considered as being part of the disclosure of the accompanying<br/>application and is hereby incorporated by reference therein.</p> | <p>6. <input type="checkbox"/> Microfiche Computer Program (<i>Appendix</i>)</p> <p>7. Nucleotide and/or Amino Acid Sequence Submission<br/><i>(if applicable, all necessary)</i></p> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Copy</li> <li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ul> |
| <p><b>ACCOMPANYING APPLICATION PARTS</b></p> <p>8. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee)<br/><input type="checkbox"/> Power of Attorney</p> <p>10. <input type="checkbox"/> English Translation Document (<i>if applicable</i>)</p> <p>11. ■ Information Disclosure Statement (IDS)/PTO-1449<br/><input type="checkbox"/> Copies of IDS Citations</p> <p>12. ■ Preliminary Amendment</p> <p>13. ■ Return Receipt Postcard (MPEP 503) <i>Should be specifically itemized</i></p> <p>14. <input type="checkbox"/> Small entity Statement(s)<br/><input type="checkbox"/> Statement filed in prior application. Status still proper and desired.</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (<i>if foreign priority is claimed</i>)</p> <p>16. <input type="checkbox"/> Other</p>  |   |
| <p>17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:</p> <p><input checked="" type="checkbox"/> Continuation   <input type="checkbox"/> Divisional   <input type="checkbox"/> Continuation-in-part (CIP) of pending prior international application No. U.S. Serial No. 09/186,884, Filed November 6, 1998, entitled APPARATUS AND METHOD FOR PERFORMING PRESBYOPIA CORRECTIVE SURGERY.</p>   |   |

## **ACCOMPANYING APPLICATION PARTS**

8.  Assignment Papers (cover sheet & document(s))
  9.  37 CFR 3.73(b) Statement (when there is an assignee)
    - Power of Attorney
  10.  English Translation Document (*if applicable*)
  11.  Information Disclosure Statement (IDS)/PTO-1449
    - Copies of IDS Citations
  12.  Preliminary Amendment
  13.  Return Receipt Postcard (MPEP 503) *Should be specifically itemized*
  14.  Small entity Statement(s)
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**18. CORRESPONDENCE ADDRESS**

- Customer Number or Bar Code Label \_\_\_\_\_  
(insert Customer No. or Attach bar code label here) or  Correspondence address below

Name	Smith, Gambrell & Russell, LLP,				
Address	1850 M Street, N.W., Suite 800				
City	Washington	State	D.C.	Zip Code	20036
Country	U.S.	Telephone	(202) 659-2811	Fax	(202) 263-4329
Name (Print/Type)		Dennis C. Rodgers		Registration No. (Attorney/Agent)	32,936
Signature				Date	September 4, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETE FORMS TO THE ADDRESS, SEND TO: Assistant Commissioner for Patents, Box CPA, Washington, DC 2023

<b>FEET TRANSMITTAL</b>		Complete if Known	
Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid See Forms PTO/SB/09-12 See 37 C.F.R. §§1.27 and 1.28		Application Number	New
		Filing Date	September 4, 2001
		First Named Inventor	Luis A. Ruiz
		Examiner Name	Unassigned
		Group / Art Unit	Unassigned
TOTAL AMOUNT OF PAYMENT \$ 534.00		Attorney Docket No	32935RC497723

<b>METHOD OF PAYMENT (check one)</b>		<b>FEET CALCULATION (continued)</b>																																																																																																																																					
1. ■ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  Deposit Account No.: <u>02-4300</u> Deposit Account Name: _____		<b>3. ADDITIONAL FEES</b>  <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td></tr> <tr><td>116</td><td>380</td><td>216</td><td>190</td></tr> <tr><td>117</td><td>870</td><td>217</td><td>435</td></tr> <tr><td>118</td><td>1,360</td><td>218</td><td>680</td></tr> <tr><td>128</td><td>1,850</td><td>228</td><td>925</td></tr> <tr><td>119</td><td>300</td><td>219</td><td>150</td></tr> <tr><td>120</td><td>300</td><td>220</td><td>150</td></tr> <tr><td>121</td><td>260</td><td>221</td><td>130</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td></tr> <tr><td>141</td><td>1,210</td><td>241</td><td>605</td></tr> <tr><td>142</td><td>1,210</td><td>242</td><td>605</td></tr> <tr><td>143</td><td>430</td><td>243</td><td>215</td></tr> <tr><td>144</td><td>560</td><td>244</td><td>290</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td></tr> <tr><td>126</td><td>240</td><td>126</td><td>240</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td></tr> <tr><td>146</td><td>760</td><td>246</td><td>380</td></tr> <tr><td>149</td><td>70</td><td>249</td><td>380</td></tr> </tbody> </table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	205	65	127	50	227	25	139	130	139	130	147	2,520	147	2,520	112	920*	112	920*	113	1,840*	113	1,840*	115	110	215	55	116	380	216	190	117	870	217	435	118	1,360	218	680	128	1,850	228	925	119	300	219	150	120	300	220	150	121	260	221	130	138	1,510	138	1,510	140	110	240	55	141	1,210	241	605	142	1,210	242	605	143	430	243	215	144	560	244	290	122	130	122	130	123	50	123	50	126	240	126	240	581	40	581	40	146	760	246	380	149	70	249	380																								
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<b>SUBTOTAL (1): \$ 355.00</b>		<b>SUBTOTAL (3): \$ 0.00</b>																																																																																																																																					
		<b>TOTAL: \$ 534.00</b>																																																																																																																																					

<b>SUBMITTED BY</b>		Complete (if applicable)	
Typed or Printed Name	Dennis C. Rodgers		Reg. Number
Signature		Date	September 4, 2001
		Deposit Account User ID	02-4300

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